

CONFIDENTIAL

NAME BARRY ALEXANDER KENNETH RIDER

ADDRESS JESUS COLLEGE
CAMBRIDGE
CB5 8BL

MOBILE TELEPHONE

EMAIL: b.rider@jesus.cam.ac.uk

EDUCATIONAL/ UNIVERSITY AND PROFESSIONAL QUALIFICATIONS

- Intermediate LL.B. (*second in order of merit in the UK*), External Examination, London University (registered at the Polytechnic of North London)
- **LL.B. (Honours)** (*first in order of merit*), Queen Mary College, London University, First Class Honours.
- **Ph.D.**, Queen Mary College, London University, (Subject area; Financial Services Law) While registered as a research student at QMC I spent time undertaking research at *Harvard Law School, New York University Law School, Toronto University* and the *University of Hong Kong*.
- **M.A.** University of Cambridge (under University Statute 3B)
- **Council of Legal Education Bar Examination** (*first in order of merit*). Called to the Bar of England and Wales, Honourable Society of the Inner Temple.
- **Ph.D.**, University of Cambridge, (Subject area; Corporate Law)

HONORARY PROFESSORSHIPS ETC

Honorary Professor, Institute of Criminology, Renmin University, China
Honorary Special Adviser on Development, Renmin University, China
Honorary Senior Professorship, Zhongnan University of Economics and Law, China
Honorary Professor of Mercantile Law, University of the Free State, Republic of South Africa
Honorary Senior Fellow, Institute of Advanced Legal Studies, University of London
Honorary Fellow, Institute of Criminology, University of Hong Kong (former Distinguished Visiting Professor of Commercial Law)
Honorary Senior Research Fellow, School of Advanced Study, University of London

Honorary **LL.D.** Dickinson Law School, Penn State University, USA
Honorary **LL.D.** University of the Free State, South Africa

Various medals, citations and awards from international organisations and foreign governments- including for example, Citation by the Commonwealth of Pennsylvania and Gold Medal of Honour (First Division) awarded by the President of Taiwan primarily for fostering legal cooperation with the PRC.

Appointed by Her Majesty an Officer of the Most Excellent Order of the British Empire (**OBE**) 2014 for services to justice and law enforcement.

PRESENT ACADEMIC POSITIONS

Professorial Fellow, Centre of Development Studies, University of Cambridge - responsible for two papers in the M.Phil in Development Studies: Justice and Development (paper 30) and Trans-national Criminal Justice (paper 31) and supervision of PhD students (currently 4)

Professor of Law/Honorary Senior Research Fellow, Institute of Advanced Legal Studies, University of London - responsible for PhD supervision

Full and Permanent Professor of Comparative Law, Renmin University, China

Full Professor of Public Security Law, Centre for the Rule of Law, Zhongnan University of Economics and Law and Wenlan Scholar Chair of Legal Research, China

Visiting Professor, Research Centre for Criminal Justice, Renmin University, China

Adviser on Strategy and Development, Law School, Renmin University, China

Professor of English Law and Director of LL.M Programmes, BPP Law School, BPP University College (responsible for co-ordination of and development of LL.M. and related professional and graduate programmes)

Academic Benchers of the Honorable Society of the Inner Temple

Fellow Commoner (from 2003 to present), Jesus College, Cambridge (Elected into a Teaching Fellowship (Class 2I) in 1976 which continued until 2003) College contact for three graduate students

FORMER ACADEMIC RELATED POSITIONS

1976 to 2003, Fellow and Lecturer in Law, Jesus College, Cambridge (also served for various periods as Tutor, Graduate Tutor, Director of Studies in Law and Dean of College. For over twelve years I held the post of Fellow for Rooms which then had a much more significant responsibility for College rooms and property. I also served on College Council, the Bursarial Committee, the Domestic Committee and a number of ad hoc committees including the Auditorium Development Committee (which I chaired).

1979 to 1995, University Lecturer in Law, University of Cambridge (Associate Lecturer until 1998) responsible inter alia for establishing new courses in financial law and trans-national criminal justice.

1976 to 1980, Lecturer in Law, Girton College, Cambridge (and for two years Director of Studies in Law).

1994 to 2004, Director and Professor of Law, Institute of Advanced Legal Studies, University of London, with responsibility for nearly 150 members of staff and an annual budget in excess of £ 4 m.

2002 to 2004, Master/Professor, Witan Hall, now part of the University of Reading (formerly associated with the City University and Keio University) I oversaw the transition of what was essentially a Japanese College associated with several Japanese universities into a graduate school in association with the City University.

1978 to 1992, Senior Research Fellow, Centre for Commercial Law Studies, Queen Mary College, University of London – responsible for development of research programme in financial law.

1974 to 1976, Part-Time Lecturer (Economics and Law), Department of Economics, Queen Mary College, University of London.

Various ad hoc, part-time and visiting teaching appointments in the UK at the University of London, University of Manchester (Business School) and University of Exeter (Centre for Police and Criminal Justice Studies).

I have also supervised law for many colleges of the University of Cambridge, including Queens, Gonville and Caius, Trinity Hall, Trinity, Selwyn, Sidney Sussex, Churchill, Wolfson, New Hall, Newnham, Corpus Christi, Pembroke, Robinson and Magdalene.

RESEARCH RELATED ACTIVITY

As Director (1994 to 2002) of the Institute of Advanced Legal Studies (then the UK's national centre for advanced legal research) I was responsible for setting the research agenda and providing support. I created a structure of themes (five) supported by research centres (with dedicated budgets and staff). We were able to raise considerable amounts of soft funding to facilitate this. I also established the Society for Advanced Legal Study to support our research activity. We established some 20 journals and monographs

series (including with the University of Cambridge) to support this activity. The number of registered research students also increased from 8 in 1996 to 89 in 2001.

As a diplomatic officer in the *Commonwealth Secretariat* (1980 to 1989) I was responsible for commissioning (often in association with other organizations including the CFTC, ODA(DIFID) and UN) significant research and research related projects in which I participated and in many cases oversaw. As *Director of the Institute of Advanced Legal Studies* (1995 to 2004) I had overall responsibility for numerous research programmes (often in association with other institutions and universities) and oversight of at least 20 commissioned research projects (including 6 under the EU Falcone Programme).

To facilitate comparative research in corporate securities regulation, I established in 1976 and now administer *The British Institute of Securities Laws* (and BISL Research Management Ltd). Similarly to promote comparative research on the control of organized and economic crime, I established in 1987 the *Centre for International Documentation on Organized and Economic Crime*. Both the BISL and CIOEC are nonprofit-making organizations. *The Company Lawyer* is published, by Sweet and Maxwell, under the auspices of the BISL and the BISL sponsors annual lectures (in collaboration with universities) and holds regular seminars and conferences. It also provides limited financial assistance to research students (including at the University of Cambridge). CIOEC is primarily concerned with research. Both the *Journal of Financial Crime* and the *Journal of Money Laundering Control* are published under the auspices of CIOEC by Emerald.

To further promote research in regard to international economic crime I initiated in 1982 and continue to direct the *Annual Cambridge International Symposium on Economic Crime* at Jesus College, Cambridge (see www.crimesymposium.org). The Cambridge Symposium is supported by a number of universities around the world including, Cambridge, London and Tokyo. It is organized and run on a non-profit making basis. It is in its thirty first year and attracts annually 1,500 participants from over 90 countries. Under the auspices of the Symposium there are regional programmes in southern Africa, South East Asia and Latin America. There have also been programmes in Europe, the Caribbean and Far East. For example, in Kuala Lumpur, Malaysia in June 2010 in collaboration with the Government of Malaysia (the Prime Ministers Office and Lord Chief Justice), in Buenos Aires, Argentina in June 2012 in collaboration with the Prosecutor General's Office, in Beijing, China in 2014, with the Prosecutor General's Office, in Romania also in 2014 with the support of the Romanian and British governments and Taiwan in 2015, with the support of the Ministry of Justice and Foreign Affairs Ministry of the RoC.

To promote and facilitate collaborative research between the academy and practitioners I was involved in establishing the *Society for Advanced Legal Studies* in 1998. It was launched by HRH the Princess Royal and continues to enjoy the support of the senior judiciary. The SALS publishes *Amicus Curiae* (of which I am the General Editor) and has established a number of expert working groups primarily concerned with issues of law reform. The Society has a programme of lectures and seminars.

RESEARCH RELATED POSTS

Director of Research, Christian Association of Business Executives (CABE) (joint programme on business ethics and in house regulation) 1974 to 1977 under Sir Frank Catherwood as chairman, funded by the Society of Jesus.

Researcher, City Panel on Takeovers and Mergers (in conjunction with the International Faculty of securities Laws, University of Pennsylvania (the Wharton School), 1976 to 1978, funded by the Bank of England.

Consultant, Inter-national mutual legal assistance (and protection of vulnerable economies), Commonwealth Fund for Technical Co-operation and the Foreign and Commonwealth Office, 1978 to 1980.

Rapporteur (and Commissioned Expert), UNCTAD, programme against maritime piracy 1984 to 1987 (more recently adviser to the Government of Somalia).

Joint Director, European Study Group on Financial Law, University of Siena, 1991 to 1996, funded by several Italian banks and The Bank of Italy.

Consultant to FT Law and Tax on Doing Business in China Programme 1990 - 1993

Correspondent, Financial Law Panel, Bank of England, 1993 to 2005, funded by the Bank of England.

UK member, Committee on Company Law, European Community, 1997 to 2001.

Adviser and research co-director, Protecting Intellectual Property Rights, National University of Taiwan, 1998 - 2001

Scientific Adviser (Research), Office of the Prime Minister of Belgium, 2000 to 2002.

Rapporteur, International Congress on Comparative Law, 2000 – 2003 (directors duties and integrity related issues).

Joint Chairman (with Lord Justice Arden), Anglo-Japanese Comparative Law Programme (Tsukuba University; Murdoch University and the IALS) 1995 to 2004.

Senior Rapporteur and Sub-Committee (Enforcement) Chairman, UN Conference on Draft Convention on Corruption (The Hague) 2001 – 2004.

Advisor, Institute of Criminology, University of Hong Kong, 2003 to present (also Honorary Fellow).

Advisor, Commissioner, Independent Commission Against Corruption, Hong Kong on research and related issues, 2007 (assisted in the establishment of the ICAC Academy) to present.

Adviser to the Secretary General, International Association of Anti-Corruption Agencies, 2007 to present.

Consultant, Government Horizon Scanning (UK Government), 2006 to 2008.

Consultant 2005 to present, Human Security Programme, University of Tokyo.

Senior Consultant (and Researcher), AEGIS Programme on the penetration of financial institutions in the European Community by organized crime and internal frauds (in association with the Cass Business School, City University), 2007 to 2010, funded by the EU.

Consultant, International Financial Crime Project, International Compliance Association, 2007 to 2009.

Consultant to the Islamic Financial Services Board (Central Bank of Malaysia), 2005 to 2015.

Consultant to the Asian Development Bank, Prudential Standards for Supervision of Islamic Financial Institutions, 2009 to 2014.

Standing Counsel and Adviser, People's Bank of China (European and UK), 2007 - 2010

Consultant to the Central Bank of Sri Lanka 2012 occasional and on going

Consultant to the Supreme People's Procuratorate of China 2000 occasional and on going

Consultant to the FIU Government of Argentina 2016 on going

Consultant (Special Adviser) UK Sichuan Business Association 2016 on going

Consultant to Great Britain China Centre 2016 on going

Consultant to Foreign and Commonwealth Office 2016 on going

Given my interest in new and dynamic areas of the law (and related disciplines) I have always placed a great deal of importance on the supervision of research. Consequently, I have successfully supervised well over 300 Ph.D students and innumerable Masters dissertations for the University of Cambridge and University of London and for a great many overseas universities, including the University of Copenhagen, the University of Gent, University of Tokyo, University of Heidelberg, Regensburg University, University of Tartu, University of Budapest, The University of Malaysia, University of Singapore, University of Geneva, University of Paris, University of Rome, University of Genoa, University of Sienna, Beijing University, University of the Free State, National University of Taiwan, Monash University, the University of New South Wales, University of Florida and St Louis University (USA) and the University of the Philippines. I currently have 24 PhD students registered at the universities of Cambridge, London and Renmin. I also

examine for a number of universities primarily in the areas of Islamic law, Chinese law, corporate and finance law and integrity related studies. On average I undertake 12 examinations annually.

VISITING AND AD HOC APPOINTMENTS

I have held various visiting (and guest) professorial and other (research related) at a number of overseas universities, including, Hong Kong, Tsinghua University, PRC, Beijing Normal University, Supreme People's Procuratorate University (PRC), University of the Philippines, University of Malaysia, University of Singapore (Academy of Law), University of the South Pacific, Hungarian Academy of Sciences, Russian Academy of Sciences, University of Rome (Sapienza); University of Palermo, University of Bologna, University of Sienna, University Paris (2); Max Plank Institute (Frankfurt and Munich), Stockholm Business School, University of Copenhagen, University of the Free State, Qatar Educational Foundation, University of Tel Aviv, Yedi Tepi University (Istanbul); University of Florida, Penn State University (Dickinson Law School); University of the West Indies, Cornell University; St Louis University, Catholic University of Buenos Aires; Tuskuba University (Japan); Tokyo University; Hosei University Graduate Law School, Tokyo and Keio Universities (Tokyo), Renmin University and Wuhan University, China, Soochow University, Taiwan.

In addition to the above I have been responsible for running 'summer schools' for graduate law students and practicing lawyers. For example, these include Penn State University (Dickinson Law School); Hosei Graduate Law School, University of Tokyo; Costa Rica University Law School; The Istanbul Bar Association; the Law Society of China; the Supreme Peoples Procuratorate of China (National Judicial Academy) and The Argentine Bar Association. I have also taught on 'summer' programmes run by the University of Cambridge, Tulane University Law School, New York University, The University of California (Irvine), the University of Florida, Cornell University Law School, The University of Seattle, the University of the Philippines, University of Paris and the University of Tokyo.

I have also taught at a number of civil service, judicial and senior police staff colleges – including in: India, Sri Lanka, Nepal, the USA, Argentina, Malaysia, Mauritius, Thailand, Singapore, China, Hong Kong, Taiwan, Indonesia, the Philippines, Fiji, USA, Canada, Argentina, Barbados, Trinidad, Cayman Islands, Bahamas, Jamaica, Ireland, Sweden, Denmark, Norway, Estonia, Latvia, Germany, Italy, Hungary, Romania, Bulgaria, Greece, Turkey, Germany, Belgium, Switzerland, Italy, Portugal, Malta, Cyprus, Israel, Dubai, Sudan, Uganda, Mozambique, South Africa, Zambia, Zimbabwe, Ghana, Malawi and Nigeria.

I have also participated in a number of training programmes for developmental and inter-governmental organizations including: World Bank, IMF, ADB, EDB, Islamic Financial Services Board, UNDP, ESCAP, UNCTAD, UN, ILO, EU, Council of Europe, Caricom, SADC, ASEAN, Gulf Co-operation Council, Mercusor, Commonwealth, ICPO-Interpol, IAASP, IAACA, NATO, Open Society and South Pacific Forum.

In addition to various **administrative and committee appointments** in Cambridge (such as membership of the Law Faculty Board, Graduate Tutors Committee, Elector to visiting chairs (eg Goodhart/ Simon Bolivar), etc), I have also served on a number of *advisory and academic related committees at other universities*. These include:

Chair, Academic Policy and Standards Committee, School of Advanced Study, University of London, 1998 – 2002

Chair, Executive Committee, Society for Advanced Legal Studies, 1996 – 2002 (currently a member of the Committee)

Member of the Directorate of the School of Advanced Study, University of London 1995 to 2004

Chair, Hamlyn Trust for Legal Education, 1999 – 2002 (a member of the board of trustees from 1995)

Co-Chair, Inns of Court Visiting Fellow Scheme, 1997 – 2001 (currently advising on the establishment of a joint programme with the Inns of Court and Chinese Law Schools for inter alia judicial training)

President, British Institute of Securities Laws, 1983 – present

Member of the Heads of Law Schools Committee, University of London, 1995 – 2004

Chair, Executive Committee, Centre for International Documentation on Organized and Economic Crime, 1990 – present (the organizing institution of the Cambridge Symposium on Economic Crime at Jesus College, Cambridge)

International Advisory Board, New England Law School, Boston, USA 1999 to present

Member, Advisory Committee, Centre for Information Security, London School of Economics, 1998 to 2007

Co-Chair, Colombia Law School, Centre for Legal Research in London (in association with the IALS) 1999 to 2001

Member, Board of Management, Institute of US Studies, 1998 to 2003 (chaired by Baroness Thatcher)

Member of the Advisory Committee on the establishment of a Law Faculty in the University of Cyprus, 2005 to present

Member of the International Board of the Centre of Governance, University of Victoria, 2001 – present

Member of the Advisory Board, Faculty of Law, University of Malta, 2002 to present

Member of the Advisory Committee on the development of the Law School, University of Tirana, Albania, 2000 – 2003

Assessor, Senior Appointments, London School of Economics, 2004 to present

Member of the Court of the City University, 1998 to present

Member of the Board of the School of Law, BPP University, 2007 to present

Member, Advisory Board, Royal United Services Institute (RUSI), 2014 to present

Special Adviser on International Development, Renmin University, China 2015 to present

Adviser to the Board of Trustees, China Overseas Educated Scholars Foundation, 2015 to present

Member, Advisor Board, Responsible Finance Institute, 2015 to present

PERSONAL RESEARCH INTERESTS

Current:

The identification and management of legal and regulatory risk (in business)

Comparative Legal Systems

Development of Islamic financial markets

Integrity related law and regulation

Trans-national crime (and terror)

Comparative financial markets law

Financial crime

Financial Services Law

Corporate Law (UK/US)

Non Legal:

Comparative study of religion

Military history

Ancient middle-eastern studies

OTHER RELEVANT EMPLOYMENT

1974 to 1980 Various assignments for UK and Commonwealth governments including Adviser to the Attorney General of Hong Kong; Inspector for Securities, Central Bank of Barbados; Adviser to the Office of the President and Secretary of Defense, Government of the Philippines; Adviser to the Office of the

Attorney General, Indonesia, Adviser to the Special Team, Economy and Trade (SITET) (Ministry of Finance), Government of Zambia; Adviser to the Reserve Bank of Zimbabwe and Attorney General; Adviser to the Prime Minister's Office, Zimbabwe, Adviser to the Attorney General's Office, Sri Lanka; Adviser to the Royal Malaysian Police Force and the Royal Police Force of Thailand and Adviser and Counsel to the Prosecutor General of China and Deputy Prosecutor General (Jilin Province), China, Standing Counsel (UK and Europe) to the People's Bank of China.

1980 to 1990 *Head of the Commonwealth Commercial Crime Unit* (Assistant Director (Diplomatic), Commonwealth Secretariat and Commonwealth Observer to ICPO-Interpol (with particular responsibility for protecting small and vulnerable economies). Also attached as an *Assistant Director* (Legal) to the Legal and Constitutional Division, Commonwealth Secretariat and CFTC.

1989 to 1992 *Special Adviser to the House of Commons Select Committee on Trade and Industry* (under Sir Kenneth Warren MP).

1993 to 1994/5 *Adviser to the Home Affairs Committee on Organized Crime* (under Sir Ivan Lawrence MP)

1997 to 1998 and 2001 *Counsel*, International Monetary Fund (special projects).

During my time as a public servant (and subsequently) I have been seconded to numerous governmental organizations and authorities. These include, (by way of example), the Malaysian Anti Corruption Agency, the Bank Negara, Malaysia; The Royal Malaysian Police Force (Commercial Crime, Anti-Drugs and Special Branch Divisions); the Attorney General's Office, Singapore; the Special Commission for Economic Crimes, Government of Indonesia, the Attorney-General's Office, Government of Indonesia and the Central Bank of Indonesia and Office of the Minister of Finance; the Ministry of Justice, Taiwan; the Office of the Public Prosecutor and National Police Administration of Japan; the Securities and Exchange Commission; National Police Commission, Bureau of Investigation and Intelligence; the Department of National Defense and the Office of the Attorney General, Government of the Philippines; Commissioner of Police and Director of Public Prosecutions, Government of Fiji; Office of the Prime Minister, Vanuatu; Office of the Attorney General, Western Samoa; Securities Commission, Serious Fraud Office and Department of Commerce and Consumer Affairs, New Zealand; National Corporate and Securities Commission (and then the Australian Securities Commission) and the National Crimes Authority, Australia; the Royal Canadian Mounted Police, The Office of the Director of Criminal Law and the Ontario Securities Commission, Canada; the Reserve Bank of Argentina and the Office of the Minister of national Security and the National Intelligence Service of Argentina; the Caribbean Financial Action Task Force; the Prime Minister's Office, Trinidad and Tobago; the National Police/Reserve Bank, Columbia; Central Bank of Barbados and Prime Minister's Office; the President's Office, Central Bank, Securities Commission and Ministry of Commerce, Sri Lanka; Central Bureau of Investigation; Indian Police Service; Directorate of Revenue Intelligence and Indian Customs Service, India; The President's Office, Mauritius; Ministry of Finance, Turkey; Office of the Chief Public Prosecutor, Albania; Academy of Sciences and Office of the State Prosecutor, Hungary (via the Open Society Foundation) and Law Reform Commission (via the British Council); Ministry of Finance (in collaboration with the Italian Government), Romania; Office of the Prime Minister (in collaboration with the Government of Sweden), Mozambique, the Office of the Attorney General of Estonia (via the Open Society Foundation); Office of the National Director of Public Prosecutions (the Scorpions), Financial Services Board, Reserve Bank, South African Police Service, various judicial inquiries, South Africa; Prime Minister's Office; Reserve Bank and Ministry of the Interior, Zimbabwe; Ministry of Finance, Zambia and the Office of the Attorney General and the Central Bank of Sudan.

I have been involved in the establishment of *initiatives to promote integrity and stability* in developing/transition economies. The following examples indicate the range of this work:

Commonwealth Fraud Liaison Scheme (involving General Secretariat of ICPO-Interpol)
Commercial Crime Unit, Commonwealth Secretariat
National Economic Conduct Inspectorate, Zimbabwe
The Public Conduct Inspectorate, Ghana
The Special Office for Economic Protection, Trinidad
The Office for National Co-ordination in Economic Protection, Indonesia
The Commercial Crime Unit, Government of Hong Kong
The Economic Intelligence and Investigation Unit, Ministry of Justice Investigation Bureau, Taiwan
Office of Asset Recovery, Supreme Peoples Procuratorate, China
The Bureau of Investigation and Intelligence, Philippines

Regional Financial Intelligence Unit, South Pacific
The Joint Anti-Piracy Intelligence Group
The Commercial Affairs Investigation Department (now Commercial Affairs Department) Singapore

LEGAL PRACTICE

Called to the Bar of England Wales 1977 appointed a Master of the Bench of the Inner Temple 2010

1976 to 1979, Volunteer Legal Assistant, Citizens Advice Bureaux, UK
1976 to 1978, Legal consultant to Palacios, Signon and Rayner (Attorney at Law), Manila, Philippines
1976 to 1980, Legal Consultant, CAMLEX (Free Legal Advice Service)
1979 to 1989, Assistant Director, Legal Division, Commonwealth Secretariat
1989 to 1994, Legal consultant, Cambridge Law Group (an international network of legal experts primarily in the areas of financial and banking law)
1992 to 1993, Legal consultant and acting senior partner (Corporate and Financial Law), Robert Wang & Co. (Hong Kong and Singapore)
1990 to 1992, Legal Consultant, International Legal firm based in Washington specializing in international investigations
1992 to 1995, Legal consultant to J. Long & Co. (Advocates and Solicitors), Malaysia
1994 to 1997, Non-Executive Director, Electronic Share Information Ltd, with special responsibility of legal and regulatory matters
2000 to 2009, Pro Bono legal adviser, Centre on Illicit Antiquities, Macdonald Institute, University of Cambridge
2004 to 2006, Consultant to Beachcroft LLP (practice primarily concerned with international financial regulation and governance)
2007 to 2009, International General Counsel, International Compliance Association
2008 to 2012, *of Counsel*, Bryan Cave LLP (a leading US International law firm) - *Principal clients (in the provision of legal advice)*

- Supreme Peoples Procuratorate of China (in regard to the tracing of illicit assets and corruption)
- People Bank of China (Standing Counsel for UK)
- Kuwait Investment Authority
- Government of Sri Lanka
- Asian Development Bank (IFSB/Centennial)

2012 to 2015, Chairman/ Partner, The International Compliance Group, Kuwait
2015 Adviser to Yicai Foundation, China
2015 Adviser to Heng Chang Finance Ltd, Beijing, China
2015 Adviser to UK Sichuan Business Association
2016 Adviser to the Office of the President, Sri Lanka
2016 Adviser to the Senate of Argentina
2016 Adviser to the Prosperity Fund (UK Government) and Great Britain China Centre
2016 Global Adviser to UK Sichuan Business Association (now Chair of its Advisory Council)

LEGAL CONSULTANCY WORK UNDERTAKEN FOR THE FOLLOWING INTERNATIONAL BODIES

Commonwealth Secretariat
Commonwealth Fund for Technical assistance
Caribbean Community
European Community (Union)
Association of South East Asian Nations
United Nations (UN Secretariat, UNCTAD, UNESCO, UNDP, ESCAP, UN Crime Prevention etc)
World Bank
European Bank for Reconstruction and Development
International Monetary Fund
ICC- IMB (and other divisions)
International Compliance Association
Asian Development Bank
Islamic Financial Services Board

COMMITTEES AND WORKING PARTIES

Member, Justice's Company Law Committee (International Commission of Jurists), 1975 to 1979
Member, Justice's Fraud Trials Committee, 1984
Member, World Health Organisation, Working Party on National Drug Control, 1984-1986 (chair of sub group on legislation)
Member of US President's Programme for a Drug Free America, 1988
Member of British Council Working Group on Company Law Reform in Hungary 1997-2000
UK Member of Committee convened by European Commissions on Corporate Law Reform in the Community, 1983-1988, (Chaired by Professor E. Wymeersch)
Member, International Maritime Bureau, Working Group on Terrorism at Sea, 1987
Member, ICPO-Interpol, Working Group on Money Laundering, 1987-1989
The Royal Institute of International Affairs, Member, Working Party on Transnational and Organised Crime, 1993
Member of IT Security Committee established by the London School of Economics, 1990 - 1996
Member of Expert Working Group on Money Laundering and Financial Services (UK Treasury) 1996 to 2006
Member of the Labour Party's Working Group on Financial Regulation, 1996 and adviser to the Shadow Attorney-General on financial crime, 2013
Member of working group on responsibility of banks and financial institutions under anti-money laundering regulation (Japan Foundation), 1994-1998
Member, International Bar Association's Business Crime Committee and Corporation Law Committee, 1987 - 1994
Member of the Financial Law Panel's working group on e-commerce, 1999 to 2001
Numerous ex officio positions related to School of Advance Study and Institute of Advanced Legal Studies, University of London (including SAS Academic Standards and Research Committee (chair); management committees of Romance Studies, English Studies, US Studies and Latin American Studies Institutes and various other university advisory/management/research committees 1994 to 2004 – some continuing
Member of the Board of Advisers, Centre for Criminal Assets, RUSI, London 2015 to 2017

As a Master of the Bench of the Inner Temple I currently sit on the Outreach Committee, Academic Fellows Selection Committee and the Inner Temple Book Prize Committee

CONFERENCES AND PUBLICATIONS

I have always considered conferences, symposia and public lectures (and the like) are important particularly in regard to new and less well known (developed) areas of study and work. They are also important for facilitating and testing research particularly at an international level.

I have already mentioned my role in establishing the Cambridge Symposium on Economic Crime and the various regional programmes that it has spawned. However, I have also played a significant role in organizing and supporting conferences for various inter-governmental organizations, governments and occasionally business entities. I would be happy to supply details.

I regularly chair and speak (perhaps less so today) at conferences mostly outside the UK.

It is, however, through publication that the caliber of research is best tested and I have always considered it important to be directly involved in writing, editing and publishing.

I have been directly responsible for establishing a number of journals (some of which are well regarded in the academy) and several series of research orientated monographs:

- General Editor and Contributor, Series (14) of Company Law monographs published by **Cambridge University Press**
- General Editor and Contributor, Series (13) of books on various issues in Financial Services Regulation in the UK published by **Butterworths**, (now **Bloomsbury**)
- General Editor of a series of research monographs (25) on various aspects of comparative commercial and financial law published by **Kluwer Law International**
- General Editor (and founder) of *The Company Lawyer*, Sweet & Maxwell (*launched in 1980*)

- General Editor (and founder), *International and Comparative Corporate Law Journal*, Cameron May (launched in 1999)
- General Editor (and founder), *Journal of Financial Crime*, Emerald (launched in 1993)
- General Editor (and founder) *Journal of Money Laundering Control*, Emerald (launched in 1997)
- General Editor, *Amicus Curiae*, Sweet and Maxwell/IALS (launched in 1997)
- Former General Editor, *Sweet and Maxwell's Money Laundering Monitor*
- Former General Editor, *British Securities Law Reporter*, and (*The British Securities Law Reports*) Oyez and Law Stationers
- Former General Editor (and founder), *International Journal of Disclosure and Governance*, Macmillan
- Former General Editor, *European Financial Law Review*, Kluwer (currently being re-launched)
- Former General Editor, *Financial Crime Review*, Institutional Investor (currently being re-launched as FINCrimM)

I am on the editorial/advisory boards of various other publications including; *The European Journal of Law Reform* (Kluwer) *Futures and Derivatives Law Review* (Cavendish, in the process of being re-launched), *Perspectives in Company Law* (Kluwer), *The Hong Kong Law Journal*, (Hong Kong University); *Nottingham Law Journal*, (Nottingham Trent Law School); *Journal of Economic Law* (Oxford University Press); *The Journal of International Banking Regulation* (Macmillan); *The Journal of Financial Regulation and Compliance* (Emerald); *The Journal of ADR, Mediation and Negotiation* (CTL Professional Publishing); *Journal of International Business Law* (IBA); *Law and Justice Journal*, Queensland University of Technology; *Deakin Law Review* (Deakin University Victoria). I am also on the advisory board of several Chinese publishers.

AUTHORED BOOKS (AND MATERIAL PUBLISHED AS A WHOLE)

THE REGULATION OF INSIDER TRADING (1979) Macmillan (474 pp) (co authored with Professor H.L. Ffrench) (responsible for 14 of 16 chapters)

THE REGULATION OF THE BRITISH SECURITIES INDUSTRY (1979) Oyez (editor and contributor 1 chapter)

THE LAW RELATING TO EXECUTIVE DIRECTORS (manual) (1980) (pp 53) Crown Eagle Publications (co-authored with John Birds and T.M. Ashe)

DOING BUSINESS IN INDONESIA AND THE PHILIPPINES (1981)(pp67) Oyez (sponsored by Lufthansa and the Ministry of Trade and Commerce, Indonesia and the Development Board of the Philippines)

INSIDER TRADING (1983) (pp 340) Jordans

THE PRACTICAL IMPLICATIONS OF THE FINANCIAL SERVICES ACT (1986) CCH (co-authored with D. Chaikin and G. Kerrigan) (pp 47)

GUIDE TO THE FINANCIAL SERVICES ACT 1986 (1987) (pp 476) CCH (co-authored with C. Abrams and D. Chaikin) (responsible for 6 of 13 chapters)

GUIDE TO THE FINANCIAL SERVICE ACT 1986 (1989) (pp 601) CCH (co-authored with C. Abrams and E. Ferran) – (*substantially re-written* – responsible for 5 of 12 chapters)

FINANCIAL SERVICES (1996) CCH/Butterworths (co-authored with C. Abrams and T.M. Ashe)

THE BRITISH FINANCIAL SERVICES REPORTER (3 Vols) Sweet and Maxwell (Editor and contributor, 4 chapters)

INSIDER CRIME (1993) (pp 130) Jordans (co-authored with T.M. Ashe)

EUROPEAN SECURITIES MARKETS REGULATION (1994) University of Sienna (collaborator/editor)

THE FIDUCIARY, THE INSIDER AND THE CONFLICT (1995) Brehon/Sweet and Maxwell (co-editor with T.M. Ashe and contributor of 3 chapters)

ORGANISED CRIME IN THE UNITED KINGDOM (1994) Oceana (occasional Paper)

GLOBAL REGULATORY TRENDS – THE CHANGING LEGAL CLIMATE (1994) Oceana

INSIDER DEALING AND THE PROTECTION OF INVESTORS (1995) (pp 87) Malta Stock Exchange

MONEY LAUNDERING CONTROL (1996) Brehon/Sweet and Maxwell, (Co-edited with T.M. Ashe and contributor of 2 chapters)

ANTI-MONEY LAUNDERING GUIDE (2 Vols) Sweet and Maxwell (Co-edited with C. Nakajima and contributor)

FINANCIAL SERVICES REGULATION (1997) (pp 800) CCH (Co-authored with C. Abrams and T.M. Ashe) contributed 5 of 13 Chapters)

DEVELOPMENTS IN EUROPEAN COMPANY LAW, Vol. 1 (1997), Kluwer, (Co-edited with M. Andenas)

DEVELOPMENTS IN EUROPEAN COMPANY LAW, Vol 11 (1998), Kluwer, (co-edited with M. Andenas and contributor)

THE CONTROL OF INSIDER DEALING, A MODEL APPROACH (2000) (pp 267) IMF

THE CORPORATE DIMENSION (1998) Jordans (Editor and contributor)

THE REALM OF COMPANY LAW (1998) Kluwer (Editor and contributor)

LAW AT THE CENTRE (1999) Kluwer (Editor and contributor of one chapter)

CONFLICTING LEGAL CULTURES IN COMMERCIAL ARBITRATION (1999) Kluwer (Co editor with N. Frommel)

INTERNATIONAL TRACING OF ASSETS (2 Vols), Sweet and Maxwell (Co-editor with T.M. Ashe and contributor of two chapters)

CORRUPTION THE ENEMY WITHIN (1996) Kluwer (Editor and contributor)

GLOBALIZATION OF COMMERCIAL LAW (1997) Kluwer (Co-editor T. Tajima and contributor of 2 chapters)

VICTIMS OF ECONOMIC CRIME (1999) Mededelings (Co-editor with J. Henning and L. De Koker)

KILLING DEVELOPMENT, MONEY LAUNDERING IN THE GLOBAL ECONOMY (2000) Centre for Post Collectivist Studies (Co-author, L. Shelley, G. Saltmarsh contributed 57 pages)

MARKET ABUSE AND INSIDER DEALING (2001) (pp 255) Butterworths,(Co-authored with K. Alexander and L.Linklater) (contributed 6 of 13 chapters) – NEW EDITION to be published February 2016

SHARI'AH LAW – FIDUCIARY OBLIGATIONS – BACK TO BASICS (2009) (pp 71) Qatar Foundation/IFSB

INTERNATIONAL FINANCIAL CRIME (Published in Chinese (2010) (pp 470) China Finance Publishing House (Peoples Bank of China) (translation by Li Hong Xing and Yan Hailing)

STRATIGIES FOR THE DEVELIOMENT OF ISLAMIC CAPITAL MARKETS (2011) Co authored (wrote 170 of 240 pp) Asian development Bank and IFSB

ISSUES IN COMPLIANCE (2015) (67 pp) Taiwan International Law Association

RESEARCH HANDBOOK ON INTERNATIONANL FINANCIAL CRIME (2015) Edward Elgar (650 pp) Editor and contributor (2 chapters)

MARKET ABUSE AND INSIDER DEALING (2016) (3rd Ed) Bloomsbury, co-authored – *substantially re-written* – contributed 6 of 13 chapters)

CONTRIBUTIONS IN OTHER PEOPLE'S BOOKS

The enforcement of corporate integrity with particular reference to the role of disclosure (pp 34) in **New Trends in Company Law Disclosure** (1980) Law & Business (Professor Lord Wedderburn ed)

The Enforcement of Financial Services Law in Britain (pp 2 to 46) (1990) in **Compliance** (NACO) (J. Birds ed)

Policing Insider Dealing in Britain (pp 313 to 331) in **European Insider Dealing** (1991) Butterworths (K. Hopt and E. Wymeersch eds)

Fraud in the Market (pps 75 to 93); *Policing the International Financial Markets* (pps 94 to 126)
Policing Insider Dealing: The International Perspective (pps 230 to 250) in **The Regulation of Financial and Capital Markets** (1991) (B.T. Tan ed) Singapore Academy of Law

The Financial Services Law in the UK (Chapter) in **International Encyclopedia of Securities Regulation** (1991) Oceana (R. Rosen Ed)

L'arrivata di repressione dell insider trading in Gran Bretagna (pps 45 to 65) in **L Insider Trading** (1992) Giuffrè Editore (C. Rabitti Ed)

Encyclopedia of Money Laundering (4 vols) (1993), Oceana, (F. Baldwin and R. Munro) – 3 chapters (est. pp 164). Also published as occasional papers.

Due Diligence (pp 47 -61) in **Ports at Risk** (1993) International Chamber of Commerce (E. Ellen Ed)

Blindman's Bluff – A Model for Securities Regulation (pp 351 to 393) in **Emerging Financial Markets; The Role of International Financial Organizations** (1996) Kluwer (J. Norton and M. Andenas Eds)

Investor Protection (chapter about 60 pp) in **Gore-Browne on Companies** (loose leaf 3 Vols), Jordans

The Regulation of the financial markets in the UK (pp 21 to 54) in **European Securities Law** (1996) Kluwer

Criminalità economica organizzata (pps 71 to 103) in **Dalla Criminologia alla Security** (1996) (CLEUB) (A. Balloni Ed) (sponsored by the British Council and University of Bologna)

The policing and control of syndicated and organized crime activity (pp 3 to 86) and *Developments in the role of civil litigation in the control of money laundering activity* (pps 325 to 362) in **Il Riciclaggio del Denaro nella Legislazione civile e penale**, (1996) (MVLTA PAVCIS) (C. Corveses and V. Santoro Eds)

Civilising the Law – the use of civil proceedings to enforce financial services law in the United Kingdom (pps 290 to 323) in **Corporate and Commercial Law: Modern Developments** (1996) Lloyds of London Press, (D. Feldman and F. Meisel Eds)

Insider Trading: An English comment on New Zealand (pps 60 to 146) in **Insider Trading and Fiduciary Duties** (1997) University of Auckland (C.Rickett and R. Grantham Eds). Also published as an occasional paper by the New Zealand Securities Commission and the Law Society of New Zealand

International Money Laundering Control – the use of the civil law (pp 397 to 443) in **Current Problems of the Penal Law and Criminology** (1998) Bialystok

Conflicts of Interest – an English Problem (pp 149 to 164) in **European Securities Markets – The Investment Services Directive and beyond** (1998) Kluwer (G. Ferrarini Ed)

The Control of Insider Trading (pp 294 to 336) in **Law, Information and Information Technology** (2001) Kluwer (E. Lederman and R. Shapira eds)

The crusade against money laundering (pps 673 to 681) in **Politica Criminal Derechos Humanos Y sistemas Juridicos en el Siglo XX1** (2001) Depalma, also published as an occasional paper by the CALI (Argentine Centre for International Affairs)

Probing Probity – A Discourse on the Darkside of Development (pps 607 to 639) in **Liber Amicorum, Ibrahim Shihata** (2001) Kluwer, (S. Schlemmer-Schulte and K.Y. Tung eds)

The Financial war on Terrorism (pps 34 to 61) in **Combating the Financing of Terrorism** (2003) Centre for International Security Policy, Switzerland/NATO

The war on Terror and Crime and the Offshore Centres: The New Perspective (pp 97 to 125) in **Global Financial Crime** (2004) Ashgate (D. Masciandro ed)

Corporate Governance and Supervision - Basle Pillar 2 (pps 293 to 310, co-authored with C. Nakajima) in **Islamic Finance – The Regulatory Challenge** (2007) Wiley (S. Archer and R. Karim eds)

Old Weapons for New Battles – the Role of Stewardship in the Development of the Common Law in its fight against Corruption and Self-Dealing (pp 33 to 71) in **Centre of Anti-Corruption Studies** (2009) ICAC, Hong Kong

Corruption the Sharp End of Governance (pp 1 to 46) in **Risky Business – Perspectives on Corporate Misconduct** (2010) Caribbean Law Publishing (S. Ali ed)

Insiders and Conflicts of Fiduciary Duties (pp 43); *Corporate Resilience –through governance and integrity* (pp 39); *The Promotion of Integrity – the way ahead!* (pp 36) and *The Prevention and Control of Fraud* (pp 62) in **Governance and Integrity** (2010) Companies Commission of Malaysia

Islamic Financial Law – Back to Basics (pps 103 to 141) in **The Changing Landscape of Islamic Finance** (2010) Islamic Financial Services Board

Corporate Governance in Financial Institutions offering Islamic Financial Services (45 pps) in **Islamic Finance – Law and Practice** (C. Nethercott and D. Esienberg eds) (2011) Oxford University Press. 2nd edition to be published March 2016 (significantly revised)

Pursing Corruption the use of the civil law (45 pps) in **Legal Studies in a Global Era – Legal Issues Beyond the Borders** (2011) Chuo University (Japan)

A Gentleman and a Scholar – Professor Ricordo di Giovanni Criscuoli, in **Modernita del Pensiero Giuridico di G. Criscuoli** (2015), University of Palermo (A.Miranda ed)

Conference papers - I have already indicated that I regularly deliver papers at conferences and other seminars in the UK and overseas. Most of these are published in some form or other. A recent example is the key note address on new strategies for fighting corruption, funded by the US Government at the ADB in Manila, Philippines in 2014 and published (36 pp) by the ADB. I also oversee the preparation of material for the annual Cambridge Symposium on Economic Crime which usually exceeds 450 documents.

SELECTED REPORTS AND OFFICIAL PAPERS

- (With others), **Report to the Government of the Sudan on the reform of Company Law and the establishment of a capital market** (1978), pp.120, World Bank
- **Report to an ad hoc meeting of Ministers on international and national security in South East Asia with reference to the activities of certain organised crime and related subversive cells** (1978), pp. 40, Government of the Philippines, Ministry of National Defence and Security
- **Economic Destabilisation and Economic Warfare** (1978), pp. 35, Report to the Office of the President and Co-ordinator General of National Security, Government of the Philippines

- *The Promotion of Cooperation in Combating International Economic Crime in The Commonwealth* (1980) pp. 180, Report to Commonwealth Law Ministers (the basis for the Commonwealth initiative against commercial and organised crime)
- *The role of ICPO-Interpol in promoting international cooperation in combating serious international crime* (1980) pp. 80, Report to Commonwealth Law Ministers (contributed to reorganisation of the General Secretariat)
- With D. Chaikin, *Mutual Assistance in Criminal Matters* (1983), pp. 130, Report to Commonwealth Law Ministers Meeting (This became the basis for the Commonwealth's initiative in regard to mutual assistance in criminal matters)
- *Commercial Crime and Financial Supervision* (1983) pp 32 in Report for Meeting of Law Officers of Small Commonwealth Jurisdictions, Commonwealth Secretariat, Isle of Man
- *Economic Crime and the destabilization of small states* (1985) (pp 28), Report of the Meeting of Law Officers of Small Commonwealth States, Commonwealth Secretariat and South Pacific Co-operation Council, Vanuatu
- *Report on Commercial Crime, Documentary Frauds and Piracy*, (1985) (pps 67), All India Shippers Council and the ICC-International Maritime Board
- *Report on Economic Crime and the Risks to Small States* (1986), pp, 70, Commonwealth Secretariat (basis of Commonwealth initiative to protect economic stability of small states)
- *Memorandum on Organised Crime* (1986), pp. 25, submitted to the Commonwealth Law Ministers (policy document for Commonwealth initiative against organised crime)
- *White Collar Crime* (1981), pp.135, Report prepared for Commonwealth Governments
- With H.L. French, pp. 220, *The Detection, Investigation and Prosecution of Corporate Fraud* (1982) prepared for Commonwealth Governments. (led to the preparation of prosecutors manuals)
- *Memorandum on the tracing and seizure of assets associated with serious criminal activity* (1987), pp. 65, submitted to the General Secretariat of ICPO-Interpol and Commonwealth Governments
- *The role of intelligence in combating financial fraud* (1989), pp. 35, Memorandum submitted to meeting of Senior Officials at Lancaster House
- *Memorandum to the WHO Working Party on National Drug Initiatives* (1986), pp.40, in Guidelines for National Drug Initiatives (1987) WHO
- Various reports on the establishment of a domestic and regional securities market in Barbados, together with a draft *Securities Exchange Act* (1979-1980) submitted to the Prime Minister, Minister of Justice and Governor of the Central Bank of Barbados (leading to the enactment of the *Securities Exchange Act of Barbados 1981* and related corporate legislation)
- *Report on Commercial Crime in Hong Kong with recommendations for the establishment of a Commercial Crime Unit within the Legal Department of the Hong Kong Government and the creation of a specialised unit within the Royal Hong Kong Police Force* (1980), pp. 320. Submitted to the Attorney General of Hong Kong (leading to the establishment of a serious fraud office in Hong Kong, which influenced the establishment of similar units elsewhere)
- *Report to the Government of Hong Kong on the Structure and Effectiveness of regulation of and supervision over the financial markets in Hong Kong* (1980), pp. 65, submitted to the Financial Secretary (leading to the reorganisation of the Office of the Commissioner of Securities)
- *Report to the Prime Minister, Minister of National Defence and Central Intelligence Organisation of Zimbabwe, on the destabilisation and the establishment of a specialised agency* (1986), pp.210, Overseas Development Administration (leading to the establishment of NECI)

- With D. Phillips, *Report to the President of Ghana on economic destabilisation and the establishment of a Public Conduct Inspectorate* (1988), pp. 112, (leading to the establishment of the PCI)
- *Report to the Prime Minister of Trinidad and Tobago on destabilisation, economic and political security* (1988), pp. 260, (leading to the establishment of the Special Office for Economic Protection)
- *Money Laundering in West Africa - the Cocaine Connection* (1989), pp. 70. Report commissioned by IGI for certain intelligence agencies
- *Report to the Central Bank of Indonesia on amendments to the Banking Laws and related legislation* (1990), pp. 65, Government of Indonesia
- *Money Laundering and financial intelligence* (1990), pp. 25, Memorandum to the Government of Columbia
- *A Central Bank law for Indonesia with supporting drafting memoranda* (1991) Government of Indonesia (substantially enacted)
- *Memorandum on civil enforcement suits for violations of Securities Laws* (1992), pp. 28, commissioned by National Association of American Securities Administrators
- *Report on the Control and Disruption of Money Laundering* (1992), pp. 35, Ministry of Finance, Indonesia and Cabinet Secretariat
- *Report on the Establishment of a Commercial Crime Intelligence Coordination Facility* (1992), pp. 150, MJIB, Republic of China (leading to establishment of new agency)
- *Report on a Securities Commission for Malaysia* (1992), pp. 140, Federation of Public Listed Companies, Malaysia and the Bank Negara
- *Supplementary Memoranda on the establishment of a Securities Commission for Malaysia* (1992), pp.30, Government of Malaysia (leading to amendments of legislation)
- *Report on money laundering activities of certain offshore banks in the Caribbean* (1992), pp. 40, commissioned by ODA, submitted to various Governments
- *Report on the relationship of British Corporate and Commercial Law to the EC Harmonisation Programme*, as part of the work of an ad hoc Sub-Committee established on European Commercial and Company Law (1992), pp. 45, Brussels
- Report commissioned for Robert W.H. Wang & co on *Legal business in China – an appropriate strategy* (1993)
- *The new Securities Commission – A cure for all evils?* (1993) (pps 23) Discussion Paper, Federation of Public Listed Companies, Malaysia
- *Report on Organised Crime in the U.K.*, Home Affairs Committee, House of Commons (1993 and 1994), pp.220 (relevant in establishing what became the Serious Organized Crime Agency (SOCA))
- *Supplementary Report on Organised Crime in the U.K.*, House of Commons (1994), pp. 23
- *The Prevention and Control of Corruption, Report to the Government of Mozambique and the Swedish International Development Agency (SIDA)* (1994), pp. 130 (leading to establishment of Anti Corruption Agency)
- *Investor Protection, The prevention and control of fraud and manipulation ESI* (1994), pp. 230
- *Insider Dealing Regulation in Sri Lanka*, (2002) pp. 250. The Securities Commission of Sri Lanka

- As Specialist Advisor to the House of Commons' **Select Committee on Trade and Industry** I participated in the preparation of the **Third Report** of the Committee, which examined the law and practice of special corporate and commercial investigations. I also participated in preparing subsidiary reports in reply to the Government's White Paper and the statement to the House (1990-92). I also prepared for the Select Committee the following memoranda which were published:

International Cooperation in Fraud Investigations, pp. 15

Privilege and Confidentiality, pp. 10

Comments on the DTI Inspectors' Report on the House of Fraser, pp. 23

- With R. Alexander, ***Fraud in the Financial Markets***, report submitted to the *18th World Congress on Comparative Law*, Bristol 1999, pp 240
- With others (including T. Newkirk US SEC) prepared a substantial report for the **Securities Regulatory Authority of China** on various issues in controlling market abuse, insider dealing and financial fraud, 1998, UNDP pp 196
- From September 1999 to May 2000 I was appointed a special counsel to the IMF to prepare a series of reports and studies on the prevention and control of market abuses on financial markets. The main report, submitted in May 2000 is entitled '**The Control of Insider Dealing**' pp. 267
- As a consultant to the Islamic Financial Services Board I have prepared a number of opinions, position papers and studies. I have been particularly involved with the development and drafting of the IFSB's **Standard on the Prudential Supervision of Islamic Collective Investments** (2009)
- **Islamic Financial Institutions and Services – Resilience and Stewardship** (2009) (pp 46) Gulf Co-operation Council, Meeting of Governors of Central Banks, Bahrain
- **Part V. The Role of Law and Regulation in the Development of Sound and Stable Islamic Financial Markets** (pp 170) in **The Development of Prudential and Supervision Standards for Islamic Financial Markets** (2011) Commission by the Asian Development Bank in collaboration with the IFSB
- **Report/paper to the UK Labour Party on the attribution of knowledge for financial misconduct (incorporated in new Strategy Document, 2014**
- **Report/ discussion document (with Dr Lu'ayy al Rimawi) to the Government of Qatar on building integrity with particular reference to training and academic research, 2014**
- **Report to the Gulf Compliance Forum on the role of compliance in Islamic Financial Institutions, 2015**
- **Report to the Government of Taiwan on new strategies in addressing economically motivated crime, Office of the President, 2015**
- **Report to the Government of Argentina on the development of financial Intelligence, 2016** funded by Foreign and Commonwealth Office UK and the US Congressional Task Force on Unconventional Warfare
- **Report to the Foreign and Commonwealth Office (Prosperity Fund) on the Rule of law in China, 2017**

In addition to the above reports I have prepared a great many papers and briefings on a variety of topics for governmental and non-governmental organisations. Some are confidential, such as a report I have recently been commissioned to write for a group of leading Chinese businessmen on commercial educational opportunities in China and for Chinese students overseas. I have also given evidence overseas to bodies such as the National Crimes Authority and various Royal Commissions in Australia, the U.S. Presidential Commission on Organised Crime and the U.S. Senate Committee on Investigations, The South African Parliament, the Senate of Argentina, the Gulf Co-operation Council, organs of the Chinese Government and Communist Party and various governmental inquiries into company law and related matters.

SELECTED ARTICLES AND OCCASIONAL PAPERS

It is perhaps worth pointing out that many of the areas in which I have worked do not, particularly in the UK, have established academic traditions. There was almost no interest, until very recently, in the study of financial services law, other than in the context of corporate finance and the same remains true in regard to what might be described as the dark side of business. Consequently, there were very few avenues for publication and these were mostly not in traditional legal journals. It was to address this problem that I launched in 1980 *The Company Lawyer* and certain other journals and services.

One aspect of the unacceptable face of Capitalism; The Crime of being something big in the City (1975) *Obiter* 9

The Regulation of Insider Trading in Hong Kong (1975) 17 *Malaya Law Review* 310, continued 18 *Malaya Law Review* 157, republished in book

With E. Hew, *The Regulation of corporation and securities laws in Britain - The beginning of the real debate* (1977) 19 *Malay Law Review* 144

The Regulation of Insider Trading in the Republic of The Philippines (1977) 19 *Malaya Law Review* 355, republished in the *Philippine Law Journal*

With E. Hew, *The structure of regulation and supervision in the field of corporation and securities laws in Britain.* (1977) *Revue de la Banque* 83

The British Council for the Securities Industry (1978) *Revue de la Banque* 303

Self-regulation: The British approach to policing conduct in the securities business with particular reference to the role of the City Panel on Take-overs and Mergers in the regulation of insider trading (1978) 1 *Journal of Comparative Corporate Law and Securities Regulation* 319 (also published as an occasional paper by the City Panel on Takeovers and Mergers/Bank of England)

With E. Hew, *The role of the City panel on Take-overs and Mergers in the regulation of insider trading in Britain* (1978) 20 *Malaya Law Review* 315

Amiable Lunatics and the Rule in Foss v. Harbottle (1978) *Cambridge Law Journal* 270

The Crime of Insider Trading (1978) *Journal of Business Law* 19

With H.L. Ffrench, *The Regulation of Insider Trading in Corporate Securities in France* (1977) 26 *International and Comparative Law Quarterly* 619

Combating international commercial crime (1984) ICC Occasional paper – Special Report

The Fiduciary and the Frying Pan (1978) *The Conveyancer* 114 and *Letter to the Editor* (1979) *The Conveyancer* 308

Partnership Law and its impact on "Domestic Companies" (1979) *Cambridge Law Journal* 148

With E. Hew, *Regulacao de titulos de Empresas no Reino Unido - Os Meritos da Auto-Regulacao* (1978) *R. Bras. Merc. Cap.* 21

With H.L. Ffrench, *Should Insider Trading be regulated - Some initial considerations* (1978) 95 *South African Law Journal* 79

The regulation of Insider trading in the Republic of South Africa (1977) 94 *South African Law Journal* 437

Abuse of Inside Information (1977) 127 *New Law Journal* 825

The Conduct of Company Directors (1978) 128 *New Law Journal* 27

Securities Regulation in Italy (1978) 128 *New Law Journal* 135

Insider Trading - Hong Kong Style (1978) 128 **New Law Journal** 897

Changes in Company Law - Directors' Duties (1978) 128 **New Law Journal** 1113

Changes in Company Law - Directors' Private Transactions (1978) 128 **New Law Journal** 1138

Companies Bill 1978 - Insider Trading (1978) 128 **New Law Journal** 1236

Insider Dealing in Great Britain (1982) **Revista delle Società** 1207

Insider Trading - A Question of Confidence (1980) 77 **Law Society Gazette** 113

Insider Trading as a Criminal Offence (1982) 8 **Commonwealth Law Bulletin** 347

Commercial Crime (1982) **Year Book, International Association of Airport and Seaport Police** 81

The role of the Commonwealth in the fight against illicit drug traffic (1983) vol. XXXV **U.N. Bulletin on Narcotics** 61

Commonwealth Initiative Against Commercial Crime (1986) 6 **Commonwealth Judicial Journal** 20

Combating international commercial crime - A Commonwealth perspective (1985) **Lloyds Maritime and Commercial Law Quarterly** 217

Economic Crime (pp 45) 1983 **Commonwealth Law Conference**

The Unacceptable Insider (1987) **Legal Research Foundation** (New Zealand),

Policing the City - Combating Fraud and other Abuses in the Corporate Securities Industry (1988) 41 **Current Legal Problems** 47

Insider Trading - A Crime of our time? 42 **Current Legal Problems** 63

Percival v Wright - per incuriam (1977) 40 **Modern Law Review** 471

A Special Relationship on the Special Facts (1978) 41 **Modern Law Review** 585

Book Review (1984) 43 **Cambridge Law Journal** 404

Policing the international financial markets: An English Perspective (1990) Vol. XVI **Brooklyn Journal of International Law** 179

Insider Dealing in Japan (1989) Occasional Paper, **Current Legal Problems Series**, University College, London

Organized Economic Crime (1990) Richards Butler, **Collection of Occasional Paper**

Policing Insider Dealing in Britain (1990) **University of Munich**, published papers

Corruption - The Third World Perspective - The Perception of corruption in developing Nations (1987) **ICAC Hong Kong Government**

Capital Creation in the Peoples' Republic of China - The ethics of the Stock Market (1990) **Commercial Affairs Department, Government of Singapore**

Fraud in the Financial Markets - A perspective on fraud and economic crime in the financial world (1990), **Government of Singapore**

The Financial World at risk - The dangers of organised crime, money laundering and corruption (1990) **Commercial Affairs Department, Government of Singapore**

With A. Shipman, *Organised Crime International* (1987) **World Security and Defence Reference Book** (1987) Cornhill, updated and republished (1990)

Fighting International Financial Crime (1990) 8 **ICC Commercial Crime International** 1

International Organized Economic Crime (1991) **Ministry of Justice, Taipei**

Fei Ch'ien Laundries - The Pursuit of Flying Money, 1 **Journal on International Planning** (1992) August and December and *Focus on Money Laundering and Asset Forfeiture* (1994) No. 3

Organized Crime in Hong Kong, Focus on Money Laundering and Asset Forfeiture (1993)

Book Review, International Corporate Procedures, **IBA Journal**, Special Issue 1993

Taking the Profit out of Crime (1993) **Ministry of Justice, Taipei**

With T.M. Ashe, *The Insider Directive and the U.K.* (1993), **University of Siena**

The Financial World at Risk (1993) **Managerial Auditing Journal** No. 7

Global Trends in Securities Regulation - The Changing Legal Climate (1995) 13 **Dickinson Journal of International Law**, 513

The Wages of Sin - Taking the Profit Out of Corruption - A British Perspective (1995) 13 **Dickinson Journal of International Law**, 391

International Money Laundering - Developments in the Role of the Civil Law in Money Laundering Control (1995) **Private Client Business**

Organized Economic Crime (In Chinese) (1999), **Peking University Law Journal**, 1-128

Proyecciones del delito organizado en el mundo hacia el marco regional (1998), (pos 15 to 43) **Revista la Escuela Nacional de Inteligencia, Argentina**

The Limits of the Law: An Analysis of the Inter-relationship of the Criminal and Civil Law in the Control of Money Laundering, 2 **Journal of Money Laundering Control** (1999) 209 (also published as an inaugural address by the University of the Free State, in 25 **Journal for Juridical Sciences** (2000)1 and in **The Practising Criminologist** (1999) 25

The Crusade Against Money Laundering - Time to Think, 1 **European Journal of Law Reform** (1999) 501

The Price of Probity, 7 **Journal of Financial Crime** (1999). 1

The Control of Insider Trading - Smoke and Mirrors, 6 **European Financial Law Service** (1999) 172 also carried in 1 **International and Comparative Corporate Law Journal** 271, 7 **Journal of Financial Crime** (2000) 227 and 19 **Dickinson Journal of International Law** (2000) 1

The Price of Laundering Dirty Money - Developments in Law and the Risks to Bankers and Financial Intermediaries (1996) **Private Client Business**

Civilising the Criminal Law - The use of civil and Administrative Proceedings to Enforce Financial Services Law 3, **Journal of Financial Crime** (1995), 11

The Practical and Legal Aspects of Interdicting the Flow of Dirty Money, 3 **Journal of Financial Crime** (1996), 234

A thoroughly Modern Crime, 1 **Financial Crime Review** (2000) 2

Cyber Organized Crime - The impact of Information technology on organised crime, 8 **Journal of Financial Crime** (2001) 332

The Financial War on Terrorism, 9 **Journal of Financial Crime** (2002)

Regulatory Responses to the War on Terrorism 3 **International Journal of Banking Regulation** (2002/3)

Stewardship in the Financial Sector (2007) **Central Bank of Sri Lanka**, Occasional Paper

Finance in the Firing Line (2008) **Quantum** 25

Recovering the proceeds of corruption, (2007) 10 **Journal of Money Laundering Control** 5

The Enemy Within (2010) **Quantum** 25

Intelligent Investigations: the use and misuse of intelligence – a personal perspective (2013) 20 **Journal of Financial Crime** 293

International Initiatives against corruption (2014) **Asian Development Bank – Occasional Papers**

Legal issues in Compliance and Risk Management – an international perspective (2014) **Central Bank of Sri Lanka – Occasional Paper**

Protecting our economies and reinforcing integrity in the financial system – an analysis of new and alternative strategies (2015, paper published by the **Taiwan Society of International Law**)

Paper on international co-operation in tracing and interdiction of suspect wealth presented in Taipei, Taiwan, June 2015, organized by the **Ministry of Justice of Taiwan**

"Policing Corruption and economic crime – how can we do it better? **Frontiers of Law in China** (issue 4) (2015) Renmin University, China

AWAITING PUBLICATION

Partnership Law in South Africa (Review), **Journal of Juridical Science**

Fighting Corruption in Africa, (Article), Russian Academy of Sciences

New Strategies in Combating Fraud, (Article) Law Review of the University of the West Indies

Corruption and development (Preface), Book

Research Handbook on AML (Preface), Book

CONTRIBUTIONS NOT LISTED

Since the inception of *The Company Lawyer* (1980) I have written the majority of editorial comments and contributed articles to the Briefing and International Sections. I have not listed these as they are too numerous. I also write the editorial comment for each issue of the *Journal of Financial Crime* and the *Journal of Money Laundering Control*.

MEDIA

On numerous occasions I worked closely with journalists in developing stories, several of which have resulted in national and international awards for the journalist in question. I have also collaborated in the making of a number of television documentaries (including World in Action, Four Corners and Panorama) on a variety of issues, including Chinese and Japanese organized crime, the BCCI affair and corruption.

I receive on a regular basis requests for interviews and features – for example:

Combating financial crime in the City 2018 - video

Combating corruption in China 2017 - China TV

Amnesties in Argentina 2016 – Clarin and FCO

China's role in international trade 2016 – CCTV

Taiwan and Security 2016 – Taiwan National TV

Strategies for Combating Corruption 2014 – ADB TV

China Finance 2010, November – cover story

Quantum 2009, April – *Rider on the Storm*

DIRECTORIES

Who's Who
Debrett's People of Today
Society of Public Teachers of Law (Society of Legal Scholars)
IPI Approved Investigators

CLUBS AND OTHER ASSOCIATIONS

City and Livery Companies

Senior Past Master, Liveryman and former Assistant to the Court of the Worshipful Company of Pattenmakers in the City of London and former Chair of the Education Sub-Committee of the Company

Liveryman (founding) of the Worshipful Company of Educators

Chairman of the Alcock Club (Bishop Alcock being the founder of Jesus College, Cambridge)

MEMBERSHIP OF CLUBS

The United Oxford and Cambridge University Club, Pall Mall, London
The Athenaeum, Pall Mall, London
The Civil Service Club, Great Scotland Yard, London
The Candlewick Club, City of London
007 Past Masters Association, City of London

CHARITABLE INTERESTS

In addition to involvement in the charitable activity of my principal Livery Company, which is mostly related to education, and my church, my wife and I run a not for profit organization which supplies books free to state schools in the Philippines. We also have a family charity (registered in the Philippines and Italy) which support over a hundred children in regard to their educational expenses in the Philippines.

I am also a member of the Advisory Committee of the Yicai Foundation for Chinese Overseas Educated Scholars based in Beijing and a similar programme in Taiwan.

In my spare time I am actively involved in the restoration of ancient properties (currently part of a 13th Century priory in Somerset)

Barry. A.K. Rider OBE
10 February 2018

United Kingdom of Great Britain and Northern Ireland

095237919

BARRY ALEXANDER KENNETH

BRITISH CITIZEN

30 MAY / MAY 52

LONDON

14 JUN / JUIN 06

14 MAR / MARS 17

UKPA

Holder signed certificate of sale (10)

P<GARRIDER<<BARRY<ALEXANDER<KENNETH<<<<<<<<
0932379195GBR5205305M1703146<<<<<<<<<<<<08